

Note No. #1

No.Q-16016/29/2015-SECC(RD)

Govt. of India

Ministry of Rural Development

Department of Rural Development

Subject: National Social Registry with respect to Socio Economic and Caste Census 2011.

26/11/2015 11:01 AM

VUM MANG
(UNDER SECRETARY)

Note No. #2

The proposal for SECC which is a combined Rural BPL census , Urban Census and caste census was approved by the Union Cabinet on 19.5.2011 with an indicative coast of Rs 4000/-cr. With the publication of final list of 534 districts out of 640 districts and draft list publication of all 640 districts, the whole exercise is likely to be over by December ,2015.

2.The huge project which the SECC has been is not supposed to be a one time exercise. To optimize value of SECC, it is imperative to ensure that SECC data acts as a centralised database by integrating it with the database of other departments, which are running various social sector schemes, both at the level of Govt of India and the state govt.. This comprehensive database when seeded with Aadhar will be helpful in correct identification of beneficiaries, proper planning , budgeting and financing of resources meant for social sector so as to optimize better value for money and will ensure greater administrative convenience by converging resources and efforts both at the national level and the level of state Govt/ UT administartion. The proposed system is subject to auto updation in future since the profile of beneficiaries will change once they receive any support.In the meeting of Parliamentary Standing Committee held on 13th October 2015 the Ministry has mooted the idea of launching social registry system to ensure greater benefits from SECC data.

3.This exercise will not only require highly skilled IT based endeavours but

also an international experience of rolling out similar exercise. Since the Ministry donot have an idea of expenditure likely to be done for this purpose , it may be pertinent to go for technical support from the World Bank rather than financial support. However, if need be in future we may call for full support in future from the world Bank.

4. Hence, to obtain technical support of World Bank which has pioneered this concept successfully in many countries of South Asia, East Asia, Central Asia , South America etc, a DO letter may be written to the Department of Economic Affairs at appropriate level.

A draft Do letter is submitted for kind approval.

26/11/2015 12:42 PM

DHRUVA KUMAR SINGH
(DIRECTOR)

Note No. #3

Noting of Director SECC for requesting DEA to seek Technical Assistance of the World Bank for preparing SECC based Social Registry may kindly be seen.

2. Identification of poor households is a necessary condition for effectively targeting the beneficiaries under various poverty alleviation and rural development programmes. In the rural domain a "Below the Poverty Line"(BPL) Census has been conducted by the Ministry of Rural Development every five years, beginning in 1992. The criterion for identifying rural poor, however, has varied from Census to Census. The last such Census was conducted in 2002 as the BPL Census got mired in judicial scrutiny as a result of petition questioning entitlements under Food Security Act.

3. In this context Cabinet ordered undertaking a Socio Economic Caste Census 2011 on the basis of prior field testing of research methodology and questionnaire on significantly large sample size across the country in all agro climatic zone under the watchful eyes of renowned experts including Dr. N.C. Saxena who was appointed as Commissioner of the Supreme Court on identifying the poor. The pilot adopted a two stage strategy for data collection. First stage was a questionnaire based survey of all households in the selected village. The second stage was the conduct of a PRA (Participatory Rural Appraisal) exercise after 3-4 weeks of questionnaire based survey to avoid contamination of PRA exercise with survey. Analysis of data for 161 villages covering 43000 households distributed across 22 states for which complete and verified data was available was done for getting help to arrive on criterion for identification of BPL

households before mounting SECC-2011.

4. Thus, every caution was undertaken and institutional arrangements were put in place to ensure reliability and validity of data for capturing multidimensionality of poverty in terms of deprivation and also for yielding a significantly reliable yardstick for ranking rural households to exclusion and inclusion in entitlement programmes of the Government. The data also captures inter-state variations and are consistent with general perception of differences in development level among states.

5. SECC 2011 Census involved house to house enumeration and verification of data in all the 24.39 crore households of which 17.91 crore households reported as rural. Provisional data and findings of SECC Rural 2011 has been placed in the public domain (secc.gov.in) on July 3, 2015. Key findings reveal that out of 17.94 crore rural households 7.06 crore households (39.37%) have reported on criteria that automatically exclude them as not facing deprivation. Out of non excluded households 10.71 were considered for deprivation, 8.69 crore households (48.49%) have reported incidence of deprivation criteria enumerated in the rural SEC Census 2011. Although 2.01 crore are floating above deprivation class but are only marginally better off and any distress can change their status. 16.51 lakh households have reported on criteria that lists poorest of the poor for automatic inclusion.

6. Since SECC 2011 (Rural) result allows ranking of households based on their socio economic status, the State/Union Territory Governments have now been enabled to objectively prepare a list of families living below the poverty line in rural areas. SECC-2011 gives governments not only the names and number of families in each Panchayat needing government entitlement programmes but also details their status on seven deprivation parameters. The SECC-2011 thus provides grading of multidimensionality of poverty and generates granularity that allows fine tuning of development programmes to meeting challenges of public financial resources. This Ministry, therefore, decided on 29th April 2015 to use SECC data to be the main utility vehicle for implementing its rural development and entitlement programme.

7. The updation and management of SECC-2011 data base in a dynamic mode attracted intense focus in the meeting of Parliamentary Standing Committee held on 13th October, 2015. The PSC desired continuous updating of the data. The Ministry has mooted the idea of launching "Social Registry System" (SRS) to ensure greater benefits from SECC data. This registry with value addition from government programme servers and data bases SECC would bring value addition by offering a platform of integrated data bases.

8. Essentially SECC Social Registry would be a database of potential beneficiaries for social assistance programs. It can be one single database or multiple harmonized and integrated databases. Which data base to harmonise and which ones to be left aside would be a call that would need to be made in an informed way. The idea essentially is to provide accurate and transparent information on potential beneficiaries for social assistance programs which would allow linkages among multiple programs for which the potential beneficiaries can be eligible in a manner that would permit articulation, harmonization and complementarities of social programs. The bare requirement of a social registry would be as under:

- i. A list of individuals (only households would be too restrictive);
- ii. One single database of potential beneficiaries;
- iii. One or multiple harmonized and integrated databases of potential beneficiaries;
- iv. A businesses processes that generate and rely on the list(s) (identification, eligibility determination, enrollment, transactions); and
- v. A process that allow management of information and services across several programs

9. SECC 2011 allows effective evidence base driven programmatic intervention in rural development in all its aspects including rural-employment, agricultural development, non agricultural SME businesses, education, gender budgeting, Self Help Group of deprivation enduring households, etc. All the intervention needs to be mapped on location specific Household identification number TIN which is identical for both the SECC and General Census. This allows SECC to be a Static Social Registry in rural areas for selection of beneficiary and for ensuring that entitlements are delivered through this registry that facilitates ranking of households on seven deprivation scale with the backing, knowledge and authentication of Gram Sabha & Panchyat. The SECC-2011 thus would allow mapping of effectiveness intervention and would allow progress of households to be mapped. To be effective Social Registry, SECC would need continuous updating to become dynamic social registry.

10. We need however clarity on the size of the social registry that we need to secure. We have the following seven choices:

Total Households in the Country= 24.39 Crore

- Total Rural Households= 17.94 Crore

- Households Excluded = 7.06 Crore (39.37%)
- Automatically Included= 16.51 lakh (0.92 %)
- Considered for Deprivation= 10.71 Crore (59.69)
- Not reporting Deprivation= 2.01 Crore (11.20 %)
- Household With Deprivations= 8.70 Crore (48.51%)

11. There are several advantages of having a (Social) Registry, including inter alia: -preventing or reducing undesirable duplication of benefits -reducing duplication of administrative costs across programs -allowing quick scalability of social programs in times of crisis or shocks to mitigate its impact monitoring evolution of living conditions of potential beneficiaries over time. Ideally a social registry that serves a subset of the census population has not been able to sustain itself. The larger the social registry the better it is. In some countries small Social Registry efforts have been made but their efficacy and ability to pull them out of vicious cycle is not scientifically proven. Some of the larger Social Registry is listed below:

Brazil :

Initially developed in 2001 and now covering 20 million families, the social registry covers 28 programs including Bolsa Família (13 million families), Beneficio From Prestação Continuada- social Pensions (4 million families).

Colombia:

Social registry SISBEN was initially began in 2000 and in 2011, SISBEN covers about 27 million people, 8 institutions and another 31 social assistance programs.

Georgia:

Social Registry was commenced in 2005 and has the database to select more than 400,000 beneficiaries of cash assistance program, health insurance, subsidies, etc.

Philippines:

Initiated Social Registry in 2008 and now the database is the core database for different programme.

These are also the countries whose transparency and accountability of the system is little match to that of the United States Social Security Number. In the

US the entire population data base is on individual Social Security number and all financial transaction, asset transaction and transfer expenditure is driven through the social Security Number Platform. Falsification of this data base is exposed quickly with serious consequences for the accomplices.

12. The first temptation that we need to avoid in MoRD is to chose for 8.70 crore households as a Social Registry. Such a registry would be of little help since 2.01 crore households out of 10.71 crore considered for deprivation would not be on radar even though a touch of distress could bring many under deprivation category. Further the scope of NSAP would require us to go for larger household based. Still more, the consolidation of urbanisation process in the country especially under the category Census Town has been rapid during 2001-2011. The studies are also confirming rapid improvement in the fortune of poor in rural areas that are in close proximity to urban centres or where urbanisation is strengthening. Thus to separate clearly the data base of rural from urban would become difficult even in next five years. Furthermore, post SECC decision of the Cabinet there have been titanic shift in governance instruments in favour of IT driven solutions. Addhaar (93.87 crore), mobile and jandhan(190 Mn in 17 months) offers a trinity of verification of moving population of the country. ORGI hopes to populate all the households with Aadhaar by 31st December 2015. All these are indication that MoRD needs to opt for the largest set of data base(all the households in the country) if the country has to deal with poverty in a non-symmetric manner. Since MoRD is the nodal agency for SECC it would be incumbent on it to think in favour of a comprehensive National Level Social Registry that is integrated with all the development programmes impacting individuals and households and a Registry that uses the Trinity of TIN- Aadhaar-Mobile.

13. I must admit that I have dwelt on only some of the issues that have a bearing on the SECC Social Registry. There would be far many and far more instruments, issues, policy and legal aspects that would need thorough understanding. To my mind the subject needs to be grappled with on two fronts:

13.1 First, developing a social registry requires inter-sectoral work from the design stage. – In most cases, policymakers start. The first work therefore is to constitute a Committee to define the scope and variables to be monitored in a dynamic mode. We may constitute an inter ministerial committee with explicit/ implicit approval of PMO but in MoRD. Since most of the data is resting on the servers of NIC it would not be difficult to create a Social Registry akin US Social Security Number but with the purpose of supporting poor people, citizens of the country and also in transfer payments. An indicative ToR for this Committee is listed below:

- Ways and means to ensure accuracy and integrity of information – because people provide information that needs to be “recertified” and “validated” to ensure quality control and minimize erroneous data;
- Ways and means to ensure confidentiality, security and privacy – to protect applicants personal information against fraudulent use and abuse (theft, misrepresentation and other illegal or non-authorized use) of personal data; the detailed personal information must only be accessed by authorized personal; and
- Ways and means to ensure timeliness and reliability of information – since data becomes available in real time to program administrators and across different sectors administrators to improve social programs delivery.
- Delineation of manuals and documentation available – A Registry also requires preparation of clear procedures manuals on how to collect the data, how to maintain the data and how to manage the Registry.
- Key components to the social Registry: TIN, Aadhaar, Mobile telephony, Jandhan etc
- How to keep the data base accurate and yet flexible to reflect changes and store historical data of applicants if needed.
- Can benefit from other external data sources – land mutation, as tax revenue database and school records, for keeping information updated and/or for cross-validation checks (not possible when Law of Transparency and Access to information is not revised because each existent database may have its own protocols for keeping confidentiality of database roster intact)

13.2 Second, not sequentially but simultaneously, to seek the help of the world Bank in studying the relevance and reach of other models including the listed above on Brazil, Columbia, Georgia, Philippines etc. We would have to be watchful against developing a social registry for a single program, without having a strategy for consolidating information about population of interest for multiple programs. Since there would be pressure by 2020 to go for SECC-2021 we will need to entreat that any Technical Assistance Programme from the World Bank or ADB is delivered and concluded with recommendation within 100 days. The chances otherwise of Technical assistance programme becoming in itself as end is high.

14. With the above reasoning, I recommend the proposal of Director (SECC) to seek Technical Assistance from the World Bank. Modified draft letter is placed below. In my opinion, following dispatch of this letter, we would perhaps need to prepare a project proposal to fasten the interest of DEA in pursuing the matter with the World Bank.

27/11/2015 9:27 AM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #4

27/11/2015 5:35 PM

AMARJEET SINHA
(ADDITIONAL SECRETARY)

Note No. #5

As proposed.

27/11/2015 6:31 PM

J.K. MOHAPATRA
(SECRETARY)

Note No. #6

30/11/2015 9:52 AM

AMARJEET SINHA
(ADDITIONAL SECRETARY)

Note No. #7

We asked for a challenging assignment. It has been granted. We need now a home grown SECC based Social Registry where the programme belongs to the Government of India and where on certain spoke we invite the expertise of Multilateral Organisations including the World Bank. We begin with the Bank and not end up being with it.

The ownership of the Programme must rest with us while we seek World Bank's experience and use their resources to secure expertise from Developed World. We would also need to undertake study of a few appropriate models ourselves.

Please discuss follow up action including the kind of structure that will need to make Social Registry an efficient Governance utility.

30/11/2015 10:27 AM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #8

Kindly get DO letter issued today

01/12/2015 9:55 AM

DHRUVA KUMAR SINGH
(DIRECTOR)

Note No. #9

Subject: Draft PPR for Screening Committee to be held on 22.01.2016.

We have receive a mail from Shri Rinku Mangal, Lead Economist, World Bank Group enclosing a draft PPR form for the Screening Committee with the request to edit, if required.

2. After making some entries in draft PPR, the same is put up for perusal/approval please.

20/01/2016 5:48 PM

VUM MANG
(UNDER SECRETARY)

Note No. #10

20/01/2016 6:07 PM

VUM MANG
(UNDER SECRETARY)

Note No. #11

May kindly see the funding proposal for World Bank Assistance of Rs. 500/- crore for Social Registry. Since GoI has already met counterpart funding by creating SECC data register we are not reflecting any other MoRD contribution. If approved the document would be sent to DEA.

We have the other options of using one per cent of Budgetted provision of IAY and NSAP for creating and maintaining SECC based Social Registry.

20/01/2016 6:15 PM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #12

Notes above. The support of a 2 million dollar World Bank Grant (from Trust Fund) is only to build up a social registry based on the learning from international experience. The actual funding for the social registry as envisaged will be worked out after the phase of technical assistance and with a formal approval of the Union Cabinet as and when the details are fully worked out.

21/01/2016 10:40 AM

AMARJEET SINHA
(ADDITIONAL SECRETARY)

Note No. #13

As proposed.

21/01/2016 11:40 AM

J.K. MOHAPATRA
(SECRETARY)

Note No. #14

21/01/2016 12:58 PM

AMARJEET SINHA
(ADDITIONAL SECRETARY)

Note No. #15

21/01/2016 1:05 PM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #16

22/01/2016 10:27 AM

VUM MANG
(UNDER SECRETARY)

Note No. #17

03/03/2016 3:34 PM

S.C. JHA
(SR. STAT OFFICER)

Note No. #18

FR is a letter from the Director(RD), NITI AAYOG requesting therein to provide information on

(i) a detailed concept note on Social Registry

(ii) what additional information the proposed Social Registry is going to capture apart from the information already captured by the SECC data and Aadhar data.

(iii) Further, as funds allocated under the administrative head of the schemes can be used to update the SECC data along with IPPE, then what additional purpose Social Registry will serve.

This is in response to our EA(RD)'s letter dated 16.12.2015.

A draft Concept Note on Social Registry and replies to queries raised on the above points is put up for perusal/approval please.

03/03/2016 3:46 PM

VUM MANG
(UNDER SECRETARY)

Note No. #19

Draft note on national social registry to be built upon platform of SECC to be sent to NITI AAYOG in response to its query is enclosed for kind approval.

03/03/2016 6:05 PM

DHRUVA KUMAR SINGH
(DIRECTOR)

Note No. #20

11/03/2016 3:20 PM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #21

11/03/2016 3:45 PM

DHRUVA KUMAR SINGH
(DIRECTOR)

Note No. #22

Vide our letter of even number dated 16.02.2016(at P.13/Cor.), we have requested NITI Aayog to send their comments/concurrent on the proposal of this Ministry towards developing a Social Registry for the Socio Economic and Caste Census and seeking both Financial and Technical Assistance from the World Bank through Department of Economic Affairs, Ministry of Finance.

2. In response, we have now received a letter(at P.25/Cor.) from the Director (RD), NITI Aayog conveying their concurrence to the proposal with the following observations.

(i) It has to be noted that the SECC Survey relates to the year 2011 and already dated by now.

(ii) The proposed initiative would not only require one-time updation of the SECC database but would require a solution with adequate measures built in the system to ensure that the database in the proposed Social Registry is kept updated all the times. It would be an added advantage if the concept of family tree is also built into the system.

(iii) In order for this database to be relevant at all times and its utility for various government programmes, it may have to be linked with the Birth and Death Registers as also the Marriage Registers(which accounts for migratory changes) in a manner that its updation is system driven rather than based on fresh data entries.

3. In this regard, we have earlier received a letter(at P.24/Cor.) from Department of Economic Affairs informing this Ministry to seek concurrence/comments of NITI Aayog.

4. As the proposal has been now concurred by NITI Aayog, it is proposed that, if approved, we may inform Department of Economic Affairs and also send a copy of the letter received from NITI Aayog to them.

5. Submitted please.

13/05/2016 1:50 PM

S.C. JHA
(SR. STAT OFFICER)

Note No. #23

13/05/2016 3:10 PM

VUM MANG
(UNDER SECRETARY)

Note No. #24

As per letter of DEA dated 17th December 2015 and 10 th February 2016 we may send a revised proposal to DEA along with and after incorporating the comments of Niti Aayog.

20/05/2016 6:37 PM

DHRUVA KUMAR SINGH
(DIRECTOR)

Note No. #25

Revised proposal may please be submitted for consideration of the Competent Authority.

24/05/2016 4:05 PM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #26

27/05/2016 3:40 PM

DHRUVA KUMAR SINGH
(DIRECTOR)

Note No. #27

Ref: Noting above.

A draft Revised Proposal addressed to JS(MI), DEA is put up for approval please.

30/05/2016 11:57 AM

VUM MANG
(UNDER SECRETARY)

Note No. #28

May kindly approve.

08/06/2016 3:04 PM

DHRUVA KUMAR SINGH
(DIRECTOR)

Note No. #29

The proposal to DEA is required to be submitted on their proforma; like the last time. This draft would not help much. Other events and decisions in the Government have overtaken this idea.

09/06/2016 4:10 PM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #30

Pl do it today

20/06/2016 7:37 PM

DHRUVA KUMAR SINGH
(DIRECTOR)

Note No. #31

Awaiting your valuable inputs.

29/06/2016 4:04 PM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #32

Vum Mang Ji kindly do the needful. I am going to Meghalaya. Kindly do the needful and discuss with me on Monday morning at 10.00 am.

30/06/2016 7:16 PM

DHRUVA KUMAR SINGH
(DIRECTOR)

Note No. #33

FR is from US, Department of Economic Affairs informing that the 63rd meeting of the Screening Committee of DEA would be held on 26th July, 2016 at North Block, New Delhi.

In this regard, DEA has requested to furnish comments on the observations made by NITI Aayog on the proposal of this Department. DEA has also requested to depute an officer well versed with the project proposal and to inform them about the same.

In view of above, a draft comments on the observations made by NITI Aayog is prepared put up for approval please.

22/07/2016 4:21 PM

VUM MANG
(UNDER SECRETARY)

Note No. #34

please speak. today.

25/07/2016 3:19 PM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #35

Discussed with EA.

27/07/2016 7:19 PM

VUM MANG
(UNDER SECRETARY)

Note No. #36

29/09/2016 3:35 PM

S.C. JHA
(SR. STAT OFFICER)

Note No. #37

29/09/2016 3:42 PM

S.C. JHA
(SR. STAT OFFICER)

Note No. #38

29/09/2016 4:14 PM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #39

29/09/2016 5:07 PM

AMARJEET SINHA
(SECRETARY)

Note No. #40

30/09/2016 12:26 PM

NAGESH SINGH
(CEA (RD))

Note No. #41

30/09/2016 12:49 PM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #42

CEA has informed that Secretary(RD) would like to hold a meeting on SECC/Social Registry during the 3rd week of October, 2016. Departments/Organizations to be invited for the meeting may be required to be indicated.

2. In this regard, we may request Secretary(RD) to fix a convenient date and time for the meeting.

10/10/2016 12:53 PM

VUM MANG
(UNDER SECRETARY)

Note No. #43

Discussed. 3.00 PM in the afternoon of Friday the 21st October 2016 in Unnati.

10/10/2016 3:45 PM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #44

This Ministry has submitted a proposal to the Department of Economic Affairs, Ministry of Finance for developing a '**Social Registry**' for Improved Delivery of Social Programme using the Socio-Economic and Caste Census.

2. Department of Economic Affairs has informed that the 63rd meeting of the Screening Committee of DEA on 28th July, 2016 to consider the proposal of Ministries/Department and that they have requested the World Bank to consider the proposal of the Ministry of Rural Development to the World Bank for a Technical Assistance of U\$ 2 million for a total duration of 9 months **(at P.29/Cor.)**.

3. In this regard, Ms. Rinku Murgai, Lead Economist of the World Bank has requested to have a meeting with Secretary(RD) to deliberate on the matter. Ms. Rinku Murgai has further requested that she would also like to have an interaction with the Senior Officers of the Ministry/Department which are likely to use the SECC data/involved in the SECC.

4. In view of above, it is proposed that (i) we may solicit convenience of Secretary(RD) for the meeting and (ii) a draft D.O. letter from Secretary(RD) to the Secretaries of the concerned Ministries/Departments to attend the meeting is put up for approval please.

29/11/2016 5:42 PM

VUM MANG
(UNDER SECRETARY)

Note No. #45

Invitation for the meeting of 6th December has been issued from my office. Please get it collected. all arrangements for the meeting may be ensured.

02/12/2016 4:46 PM

MANORANJAN KUMAR

(Eco. Adv.)

Note No. #46

19/12/2016 3:07 PM

S.C. JHA
(SR. STAT OFFICER)

Note No. #47

09/03/2017 1:17 PM

S.C. JHA
(SR. STAT OFFICER)

Note No. #48

FR is recieved from Department of Economic Affairs, Govt. of India regarding "Developing a Social Registry for improved Delivery of Social Programmes using the Social Economic Caste Census 2011". It is informed vide letter of World Bank dated 13/10/2016 that the proposal of MORD has been accepted (at P.38/c) in response to our letter dated 2/3/2017, wherein it is stated that Ms. Rinku Murgai, Lead Economist and Mr. John Blomquist, Human Development Programme Leader will follow up on the proposal with the Ministry of Rural Development.

Submitted for kind perusal please.

09/03/2017 4:08 PM

R.S. KOHLI
(ASSISTANT DIRECTOR)

Note No. #49

09/03/2017 4:50 PM

VUM MANG
(UNDER SECRETARY)**Note No. #50**

14/03/2017 10:30 AM

DHARMVIR JHA
(DIRECTOR)**Note No. #51**

We discussed this matter this morning.

I had initially proposed Social Registry. It is in some other file. I had coordinated the World Bank in DEA twice and I had my doubts on the speed and content of TA that the World Bank can offer. So far World Bank offer has belied little of my fears.

SECC-2011, as a social Registry, is far superior than any thing that WB experts could offer based on any other country's experience . The only better product was and is the Social Security System of the USA which not only helps track the economic status of individual but also traces individuals interaction with any government programme. Its closer to comprehensive view and yet has the highest privacy concerns attended efficiently. Nonetheless it was decided to lean on WB. All options so far offered by the WB are feeble cases, far weaker than what many states in iNdia have to offer. We should be looking for a Social Registry System that attends to the privacy concerns too especially with the policing system and bureaucracy that is not hesitating to show crumbling morality and with very often their movement leaving dark shadows of corrupt practices.

The material furnished by the World Bank may be examined from the angle of our expectations and what they are offering now. Please get the gap in the offer of the WB and our expectations identified quickly for apprising Secretary.

15/03/2017 10:57 AM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #52

Please put up as discussed on priority .

15/03/2017 2:42 PM

DHARMVIR JHA
(DIRECTOR)

Note No. #53

23/03/2017 12:49 PM

VUM MANG
(UNDER SECRETARY)

Note No. #54

20/04/2017 4:46 PM

R.S. KOHLI
(ASSISTANT DIRECTOR)

Note No. #55

20/04/2017 5:38 PM

MUKESH KUMAR
(ASST. COMMISSIONER)

Note No. #56

FR(48-50/Cor) is an email from Mr. Philip O Keefe, World Bank Representative on SECC Social Registry NLTA mission agenda proposal informing that the World Bank team is currently working on four inputs that can contribute to the deliberation with MoRD and other stakeholders during mission next week. The World Bank Team has requested for the following.

1. They require first set of data containing 142 emails received by the Ministry

in the PMAY scheme and if shared they can have the analysis ready and further analysis can be carried out when data from Awaas Soft is shared.

2. Constitution of an inter- agency Working Group that can serve as a sounding board and provide inputs to MoRD and the bank team in anticipation of the preparation of the Cabinet Note. The Group should include MoRD staff and key SECC/Registry data users at Central and State levels.

3. Meeting with Secretary(RD) , Addl. Secretary(RD) and EA on 26th April, 2017 on four inputs.

4. Half Day Workshop with the Working Group on April, 28th, 2017.

In view of above, it is proposed that

(i) we may obtain the first set of data containing 142 emails received in PMAY.

(ii) constitute an inter agency Working Group with members from DBT Mission, MEITY, Food and Civil Supply and Ministry of Health and Family Welfare and representations of at least a couple of states like MP, Rajasthan and others.

(iii) meeting with Secretary(RD) and other officers of MoRD on 26th April, 2017.

(iv) Half Day Workshop with the Working Group on 28th April, 2017. Agenda for the Workshop proposed by the World Bank may please be seen at P.49/Cor.). This also includes a presentation by one or more state ideally MP on their Registry.

Submitted for consideration please.

20/04/2017 6:39 PM

R.S. KOHLI
(ASSISTANT DIRECTOR)

Note No. #57

The World Bank has been informed that SRD and AS(RD) are deputed by the Government outside India. Hence the window of 0915-1000 hours on 25th April 2017 is available for an interface with Ministry's officials. This suits them. May kindly see for further orders.

21/04/2017 12:14 PM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #58

21/04/2017 2:49 PM

NAGESH SINGH
(ADDITIONAL SECRETARY)

Note No. #59

21/04/2017 4:41 PM

AMARJEET SINHA
(SECRETARY)

Note No. #60

The meeting has been noted in Secretary's engagements please.

21/04/2017 4:53 PM

H.RAMAKRISHNAN
(PPS)

Note No. #61

25/04/2017 10:28 AM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #62

25/04/2017 12:35 PM

DHARMVIR JHA
(DIRECTOR)

Note No. #63

15/05/2017 10:28 AM

R.S. KOHLI
(ASSISTANT DIRECTOR)

Note No. #64

03/07/2017 12:07 PM

VUM MANG
(UNDER SECRETARY)

Note No. #65

FR is recieved from Acting Country Director, India, World Bank regarding developing a Social Registry for Improved Service Delivery of Social Programmes using the SECC-2011 and thanks Mord for the cooperation to the technical mission in June, 2017 and also agree with high strategic importance for the country.

The World Bank also welcome Expert Group on IT and Cyber Security under MoRD and the inter-agency committee to finalize Updation of SECC data and assure World Bank,s continued support.

Submitted for perusal please.

08/09/2017 11:50 AM

R.S. KOHLI
(ASSISTANT DIRECTOR)

Note No. #66

The World Bank has also enclosed its Aide-Memoire **(P.53 to 56/Cor.)** in connection with 'Developing a Social Registry for Improved Service Delivery of Social Programs using the Socio-Economic Caste Census: Non-Lending Technical Assistance', which may kindly be perused.

Submitted for orders please.

12/09/2017 1:51 PM

VUM MANG
(UNDER SECRETARY)

Note No. #67

12/09/2017 2:11 PM

MUKESH KUMAR
(JOINT DIRECTOR)

Note No. #68

please discuss.

14/09/2017 1:15 PM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #69

14/09/2017 5:55 PM

MUKESH KUMAR
(JOINT DIRECTOR)**Note No. #70**

Discuss with EA(RD).

We are moving full stream with the concept of Social Registry Information System(SRIS), which the Department has conceptualized. The Expert Committee(Shri Sumit Bose Committee) constituted had also endorsed the need of continuous updation of SECC data and suggested maintenance of Social Registry. The technical assistance received from the World Bank has also endorsed the concept of Social Registry and brought before us the experience of other countries; although those example are of much smaller scale than the Social Registry kind of work being done in this Department(SECC 2011, PMAY MIS, NRLM MIS are soem of the Social Registry formation that we maintain.

The World Bank assistance did not result in any meaningful exposure of our team on maintaining of Social Registry by other countries. The privacy concern als requires study of how data an network security is arrange for dealing with privacy concern. In the meeting chaired by SRD, representatives of M/o Health and Family Welfare and the M/o Petroleum and Natural Gas had strongly advocated the need to learn from the experience of countries Latvia including who had withstood cyber attack from other countries.

In view of above, SRD may kindly be appraised about the position.

18/09/2017 4:31 PM

VUM MANG
(UNDER SECRETARY)**Note No. #71**

18/09/2017 4:57 PM

MUKESH KUMAR
(JOINT DIRECTOR)

Note No. #72

Please discuss.

25/09/2017 12:19 PM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #73

25/10/2017 2:50 PM

MUKESH KUMAR
(JOINT DIRECTOR)

Note No. #74

For your perusal please

16/07/2018 5:30 PM

S.C. JHA
(SR. STAT OFFICER)

Note No. #75

17/07/2018 6:26 PM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #76

For your perusal please

22/10/2018 3:57 PM

S.C. JHA
(SR. STAT OFFICER)

Note No. #77

Discuss.

29/10/2018 5:06 PM

MUKESH KUMAR

(JOINT DIRECTOR)

Note No. #78

13/11/2018 12:28 PM

S.C. JHA
(SR. STAT OFFICER)

Note No. #79

26/11/2018 10:12 AM

MANORANJAN KUMAR
(Eco. Adv.)

Note No. #80

As directed by PPS to Secretary, File is submitted please.

20/03/2019 9:59 AM

S.C. JHA
(SR. STAT OFFICER)

Note No. #81

Reference note received from AS(PK) (page-57-58/c) regarding setting up of core group committee for updation of SECC Data leading to development of Social Registry.

In this regard, as per instruction of JS(PPM) we may issue a letter about the constitution of core group committee and a meeting notice scheduled for the 2.5.2019 as per DFAs submitted please.

29/04/2019 6:23 PM

S.C. JHA
(SR. STAT OFFICER)

Note No. #82

29/04/2019 6:25 PM

BINOD KUMAR

(UNDER SECRETARY)

Note No. #83

29/04/2019 6:30 PM

S S MODI
(DEPUTY SECRETARY)

Note No. #84

30/04/2019 11:29 AM

BISWAJIT BANERJEE
(JOINT SECRETARY)

Note No. #85

30/04/2019 11:58 AM

S S MODI
(DEPUTY SECRETARY)

Note No. #86

30/04/2019 12:22 PM

BINOD KUMAR
(UNDER SECRETARY)

Note No. #87

03/05/2019 12:01 PM

S.C. JHA
(SR. STAT OFFICER)

Note No. #88

First Meeting of Core Group Committee to take forward the SECC updation leading to development of social registry for the Country held on 2nd May, 2019 in the chamber of Additional Secretary(RD), R.No. 361-A, Krishi Bhawan.

Draft Minutes of the Meeting is placed herewith for approval.

If approved, we may circulate the minutes as per DFA submitted please.

03/05/2019 12:18 PM

BINOD KUMAR
(UNDER SECRETARY)

Note No. #89

03/05/2019 2:04 PM

S S MODI
(DEPUTY SECRETARY)

Note No. #90

03/05/2019 6:01 PM

BISWAJIT BANERJEE
(JOINT SECRETARY)

Note No. #91

Made amendments in the hard copy submitted separately. Please see them and issue. Please keep a copy of the same in e-File also.

06/05/2019 10:04 AM

PRASANT KUMAR
(ADDITIONAL SECRETARY)

Note No. #92

06/05/2019 11:12 AM

BISWAJIT BANERJEE
(JOINT SECRETARY)**Note No. #93**

07/05/2019 2:38 PM

S S MODI
(DEPUTY SECRETARY)**Note No. #94**

08/05/2019 12:38 PM

BINOD KUMAR
(UNDER SECRETARY)**Note No. #95**

Formation of a team in Ministry of Rural Development to oversee "Updation of SECC-2011" and "Social Registry Works" -reg.

This is regarding partial modification of earlier OM issued vide No. Q-16016/29/2015-SECC(RD) dated 29.04.2019, a team to oversee activities oversee "Updation of SECC-2011" and "Social Registry Works" in the Ministry of Rural Development with followings members as decided in the minutes of the SOM held on 26.04.2019(page 80/c). One member i.e.Dr.N.Srinivasa Rao(EA-I) included in the modified team.

- | | |
|---------------------------------------|---------|
| 1. Sh. Prasant Kumar (AS-RD-PK) | - MoRD |
| 2. Smt. Ratna Anjan Jena (ADG-Stats) | - MoRD. |
| 3. Dr. Biswajit Banerjee(JS-PPM) | - MoRD. |
| 4. Sh. Khushwant Singh Sethi(JS-MoPR) | - MoPR |
| 5. Dr.N.Srinivasa Rao(EA-I) | - MoRD. |
| 6. Sh. Varindra Seth (STD-NIC) | - MoRD. |
| 7. Sh. Pramod Sharma (STD-NIC) | - MoRD. |

The Term of References (TORs) for the team so constituted will be follows:

1. The team will devise an appropriate pilot exercise in a few select locations to measure the changes occurred so far in deprivations at the household level compared to the status reported in SECC 2011.
2. The team will explore alternative options to Aadhaar as a single identifier across programmes. Inputs may be taken from the concerned experts in World Bank.
3. A Special Purpose Vehicle may be designed as a dedicated institution to manage the 'Social

Registry'. The organization may bring together skills sets of Indian Economic Service and Indian Statistical Service apart from NIC.

A DFA is placed below for approval Please.

21/05/2019 12:56 PM

S.C. JHA
(SR. STAT OFFICER)

Note No. #96

21/05/2019 2:58 PM

BINOD KUMAR
(UNDER SECRETARY)

Note No. #97

21/05/2019 5:55 PM

S S MODI
(DEPUTY SECRETARY)

Note No. #98

Approved and may be issued.

Please open a seperate e-file for Documentation of activities and workings of Overseeing Team. Minutes of the meeting of concerned SoM and earlier two meetings taken by the Core(Now Overseeing) Team be electronocally kept in the new E-file.

22/05/2019 3:08 PM

BISWAJIT BANERJEE
(JOINT SECRETARY)

Note No. #99

22/05/2019 5:19 PM

S S MODI
(DEPUTY SECRETARY)

Note No. #100

23/05/2019 11:46 AM

BINOD KUMAR
(UNDER SECRETARY)**Note No. #101**

11/06/2019 11:38 AM

S.C. JHA
(SR. STAT OFFICER)**Note No. #102**

The proposal for SECC which is a combined Rural BPL census, Urban Census and caste census was approved by the Union Cabinet on 19.5.2011. SECC served to be a strong basis for public resource allocation under MGNREGA, DAY NRLM and PMAY-G. Several other schemes are also using SECC data for identification of beneficiaries like Ujjwala scheme (Ministry of Petroleum and Natural Gas), Aayushman Bharat (Ministry of Health and Family Welfare) and Ministry of Labour and Employment. Request has also been received from Ministries like Transport and Highways, Indian Institute of Corporate Affairs, Himalayan State Regional Council, NITI Aayog etc for SECC data. It is also to mention that 'SECC Data Sharing Protocol' is being prepared to be notified by the MoRD shortly.

However, the huge project which the SECC has been is not supposed to be a onetime exercise. To optimize value of SECC, it is imperative to ensure that SECC data acts as a centralized database by integrating it with the database of other departments, which are running various social sector schemes, both at the level of Govt of India and the state govt. This comprehensive database when seeded with Aadhar will be helpful in correct identification of beneficiaries, proper planning, budgeting and financing of resources meant for social sector so as to optimize better value for money and will ensure greater administrative convenience by converging resources and efforts both at the national level and the level of state Govt/ UT administration. The suggested system is subject to auto updation in future since the profile of beneficiaries will change once they receive any support.

The updation and management of SECC-2011 data base in a dynamic mode attracted intense focus in the meeting of Parliamentary Standing Committee held on 13th October, 2015. The PSC desired continuous updating of the data. The Ministry has mooted the idea of launching "Social Registry System" (SRS) to ensure greater benefits from SECC data in 2016. This registry with value addition from

government programme servers and data bases SECC would bring value addition by offering a platform of integrated data bases.

Essentially SECC Social Registry would be a database of potential beneficiaries for social assistance programs. It can be one single database or multiple harmonized and integrated databases. Which data base to harmonise and which ones to be left aside would be a call that would need to be made in an informed way. The idea essentially is to provide accurate and transparent information on potential beneficiaries for social assistance programs which would allow linkages among multiple programs for which the potential beneficiaries can be eligible in a manner that would permit articulation, harmonization and complementarities of social programs.

In many countries like Brazil, Colombia, Bangladesh, Georgia, Philippines, Pakistan, Argentina etc efforts for managing Social Registry System have been made. These are also the countries whose transparency and accountability of the system is little match to that of the United States Social Security Number. US the entire population data base is on individual Social Security number and all financial transaction, asset transaction and transfer expenditure is driven through the social Security Number Platform. Falsification of this data base is exposed quickly with serious consequences for the accomplices.

MoRDs proposal to go for a Social Registry System for the country has been concurred by NITI Aayog, based on which Department of Economic Affairs has approved the NLTA assistance from the WB. Ministry had earlier also submitted a proposal to the Department of Economic Affairs, Ministry of Finance for developing a **'Social Registry'** for Improved Delivery of Social Programme using the Socio-Economic and Caste Census.

The World Bank has also submitted its Aide-Memoires in connection with 'Developing a Social Registry for Improved Service Delivery of Social Programs using the Socio-Economic Caste Census under its Non-Lending Technical Assistance.

In addition to this, a Technical Committee was constituted in the Department of RD on "Updation of SECC data" with representations from UIDAI, DBT, HUA, NIC, World Bank, CDFI and MoSPI. In one of the meetings of the Technical Committee which was attended by the DDG, UIDAI, it was proposed that there is a need to create "Data Exchange Framework", enabling data sets to interact across all the schemes and programmes of the Departments using Aadhaar number as a common identifier. The Government is also planning to amend the Aadhaar Act in view of the judgment of the Hon'ble Supreme Court on Aadhar (Justice K.S. Puttaswamy (Retd.) vs. Union of India). Proposed amendment may include a provision that consent may be taken from a beneficiary to share his/her Aadhaar number for one scheme would also be considered as a consent for use of that Aadhaar number to provide benefits under other Government funded schemes. All Govt may also be allowed to collate benefits/assistance provided to as individual/family by using Aadhaar as a common identifier across databases. The two changes in the Aadhaar Act would greatly benefit the creation of the Social Registry.

The Authority may need to issue Circular (Under regulation.30: Chapter V) with respect to different Aadhaar Regulations, it is requested that following may be permitted to be carried out for the specific Schemes/Programmes requested for inclusion under Section.7 (Chapter-III: Authentication) of Aadhaar Act.

- A. Storage of Aadhar No. of Resident/Beneficiary along with transaction authentication data
- B. Sharing of data as per 'standards' with beneficiary schemes as and when included under Section.7 (Chapter-III: Authentication) of Aadhaar Act
- C. Registering MoRD as Global Authentication User Agency (AUA) for authentication Storage, use and interoperability with MIS of other government schemes/programmes.

DoRD has already taken all necessary steps to notify its 'SECC Data Sharing Protocol' which also includes its future value addition through integration/updating/and interoperability in conformity with the NDSAP, 2012. A list of the programmes where application of interoperability of Aadhaar would have multiplier effects in terms of coverage and efficient utilization of resources is attached in the Annexure. This Social Registry Information System strengthened upon SECC data, would go a long way to establish a much needed continuous, authentic, efficient and evidenced-based data management system in the country to identify beneficiaries of government welfare schemes and would be an enabler for achieving goals of NEW INDIA@2022.

Now, taking in to consideration all the events and facts, recorded above, kind approval for SRD on following proposed actions is solicited.

1. A DO letter from SRD to CEO, UIDAI requesting inclusion of specific Welfare Schemes/Programmes of the Government under Section.7 of Aadhaar Act 2016. **[DFA attached]**
2. Reviving of NLTA with WB and completion of the left over tasks based on the discussion and presentation made by the WB team to Senior Officers of DoRD and Oversight Committee on 17th May 2019.

[Had discussion with WB team - assured cooperation]

3. Formulation of Social Registry Policy of the Country including its scope w.r.t. programmatic inclusion and operational modalities.

[Zero draft being prepared by the undersigned]

4. Facilitating resumption of activities by Expert Group on Information Technology and Cyber Security – form earlier for the purpose.

[Meeting needs to be called – with /without co-opting new members]

For kind approval of SRD please

BISWAJIT BANERJEE
(JOINT SECRETARY)

Note No. #103

17/06/2019 5:26 PM

ALKA UPADHAYAYA
(ADDITIONAL SECRETARY)

Note No. #104

17/06/2019 6:28 PM

AMARJEET SINHA
(SECRETARY)

Note No. #105

18/06/2019 12:52 PM

H.RAMAKRISHNAN
(SR. PPS)

Note No. #106

19/06/2019 4:44 PM

JYOTSANA VERMA
(PS)

Note No. #107

19/06/2019 7:08 PM

BISWAJIT BANERJEE
(JOINT SECRETARY)

Note No. #108

19/07/2019 10:14 AM

BINOD KUMAR
(UNDER SECRETARY)

Note No. #109

22/07/2019 11:23 AM

DINESH KUMAR
(DEPUTY SECRETARY)

Note No. #110

23/07/2019 2:12 PM

BINOD KUMAR
(UNDER SECRETARY)

Note No. #111

07/08/2019 10:40 AM

S.C. JHA
(SR. STAT OFFICER)

Note No. #112

Ref. Note: 102.

As follow up of point no. 4 of referred note the draft DO (DFA/733588 - V 1.0) from SRD for holding the Inter-Ministerial Expert Committee Meeting is attached along with 'Concept Note' for approval please.

11/09/2019 12:04 PM

BISWAJIT BANERJEE
(JOINT SECRETARY)

Note No. #113

Draft DO from SRD to the members of Inter Ministerial Committee along with Concept Note on SECC updation is placed below for approval please.

11/09/2019 7:12 PM

PRASANT KUMAR
(ADDITIONAL SECRETARY)

Note No. #114

12/09/2019 2:14 PM

AMARJEET SINHA
(SECRETARY)

Note No. #115

12/09/2019 2:51 PM

H.RAMAKRISHNAN
(SR. PPS)

Note No. #116

13/09/2019 3:56 PM

JYOTSANA VERMA
(PS)

Note No. #117

13/09/2019 5:39 PM

PRASANT KUMAR
(ADDITIONAL SECRETARY)

Note No. #118

Modified letter sent.

13/09/2019 5:46 PM

AMARJEET SINHA
(SECRETARY)

Note No. #119

13/09/2019 5:55 PM

PRASANT KUMAR
(ADDITIONAL SECRETARY)

Note No. #120

13/09/2019 7:19 PM

BISWAJIT BANERJEE
(JOINT SECRETARY)

Note No. #121

15/09/2019 5:24 PM

DINESH KUMAR
(DEPUTY SECRETARY)

Note No. #122

18/09/2019 1:51 PM

ARUN C ADATTE
(ASST. COMMISSIONER)

Note No. #123

Reference note above

SRD office informed that meeting is being postponed on 24.09.2019 at 11.00 am in Unnati, Krishi Bhawan due to unavoidable circumstances. We may request SRD to indicate convenient date and time for re-schedule the above meeting.

A draft meeting notice informing the members of expert group about the re-scheduling the meeting is placed below for approval please.

23/09/2019 11:13 AM

S.C. JHA
(SR. STAT OFFICER)